

4402-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : DIMITRI CAPLYGIN
Serial No. : 10/018,733
Filed : DECEMBER 17, 2001
Group No. : pending
Examiner : pending
For : SYSTEM FOR ENHANCEMENT OF
NEUROPHYSIOLOGICAL PROCESSES

Commissioner for Patents BOX PCT
United States Patent and Trademark Office
Washington, DC 20231

TRANSMITTAL OF MISSING REQUIREMENTS

Sir:

The Applicant hereby transmits the following in response to the Notification of Missing Requirements dated January 31, 2002:

- (1) Copy of Notification of Missing Requirements;
- (2) Declaration and Power of Attorney; and
- (3) Check in the amount of \$27.00 and fee transmittal form in duplicate; and
- (4) Postcard for return;

I hereby certify that the foregoing documents are being deposited with the United States Postal Service, Express Mail, Post Office to Addressee in an envelope addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231 on the date below.
(Express Mail label: EL 803334631 US)

04/02/2002 HNGUYEN 00000187 10018733

01 FC:967

27.00 OP

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Raymond B. Churchill, Jr. Reg. No. 44,617

Dated: March 28, 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEETRANSMITTAL for FY2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 27.00)

Complete if Known

Application Number	10/018,733
Filing Date	6/15/2000
First Named Inventor	BERTHON-JONES, Michael
Examiner Name	pending
Group Art Unit	pending
Attorney Docket No.	4402-003

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
- Deposit Account Number 07-1730
- Deposit Account Name
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
101710201355			Utility filing fee	
106320206160			Design filing fee	
107490207245			Plant filing fee	
108710208355			Reissue filing fee	
11415021475			Provisional filing fee	

SUBTOTAL(1) (\$ 0.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = 0	0	0.00
Multiple Dependent Claims	-3** = 0	0	0.00

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
103182039			Claims in excess of 20	
1028020240			Independent claims in excess of 3	
104270204135			Multiple dependent claim, if not paid	
1098020940			**Reissue independent claims over original patent	
110182109			**Reissue claims in excess of 20 and over original patent	

SUBTOTAL(2) (\$ 0.00)

**number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
10513020565			Surcharge-late filing fee or oath	
1275022725			Surcharge-late provisional filing fee or coversheet	
139130139130			Non-English specification	
1472,5201472,520			For filing a request for <i>parte</i> reexamination	
112920*112920*			Requesting publication of SIR prior to Examiner action	
1131,840*1131,840*			Requesting publication of SIR after Examiner action	
11511021555			Extension for reply within first month	
116390216195			Extension for reply within second month	
117890217445			Extension for reply within third month	
1181,390218695			Extension for reply within fourth month	
1281,890228945			Extension for reply within fifth month	
119310219155			Notice of Appeal	
120310220155			Filing a brief in support of an appeal	
121270221135			Request for oral hearing	
1381,5101381,510			Petition to institute a public use proceeding	
14011024055			Petition to revive-unavoidable	
1411,240241620			Petition to revive-unintentional	
1421,240242620			Utility issue fee (or reissue)	
143440243220			Design issue fee	
144600244300			Plant issue fee	
122130122130			Petition to the Commissioner	
123130123130			Petitions related to provisional applications	
126180126180			Submission of Information Disclosure Stmt	
5814058140			Recording each patent assignment per property (times number of properties)	
146710246355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149710249355			For each additional invention to be examined (37 CFR § 1.129(b))	
179710279355			Request for Continued Examination (RCE)	
169900169900			Request for expedited examination of a design application	
Other fee (specify) ---			Additional claim fees	27.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$ 27.00)

SUBMITTED BY

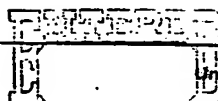
Name (Print/Type)	Raymond B. Churchill, Jr.	Registration No. (Attorney/Agent)	44,617	Telephone	212-684-3900
Signature				Date	March 28, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



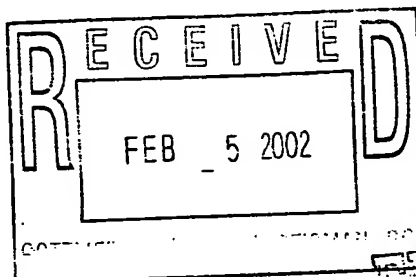
UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/018,733	Dimitri Caplycin	4402-003

Churchill Jr., Raymond B
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INTERNATIONAL APPLICATION NO.	
PCT/AU00/00661	
LA. FILING DATE	PRIORITY DATE
06/15/2000	06/15/1999

CONFIRMATION NO. 5008
 371 FORMALITIES LETTER



OC00000007378700*

Date Mailed: 01/31/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$27 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are

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due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$27 for a Small Entity:

- Total additional claim fee(s) for this application is \$27
 - \$27 for 62 total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/018,733	PCT/AU00/00661	4402-003